Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE apenwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/898,310 TRANSMITTAL Filing Date July 3, 2001 **FORM** First Named Inventor Teng Pin Poo et al. Art Unit (to be used for all correspondence after initial filing) 2182 **Examiner Name** Attorney Docket Number 1601457-0008 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Provisional Application Proprietary Information Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Form PTO/SB/08A and PTO/SB/08B Request for Refund **Express Abandonment Request** Copies of 81 prior art references Return Postcard CD, Number of CD(s) Information Disclosure Statement RECEIVED Remarks Certified Copy of Priority Document(s) OCT 0 7 2003 Response to Missing Parts/ Incomplete Application Technology Center 2100 Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Patrick W. Ma, Reg. No. 44,215 White & Case LLP Individual name Signature Date September 24, 2003 CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Typed or printed name	Christina Ishihara	•	17 marine frantische und der der der der der der der der der de			
Signature	holo	lac		Date	September 24, 2003	_
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Teng Pin Poo et al.

Serial No.: 09/898,310

Filed: July 3, 2001 Examiner: To be assigned

For: A Portable Device Having Biometics- W

**Based Authentication Capabilities** 

White & Case Docket No.: 1601457-0008

Group Art Unit: 2182

## **INFORMATION DISCLOSURE STATEMENT**

OCT 0 7 2003
Technology Center 2100

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of Applicants or attorneys or agents for Applicants which are or may be material to the examination of the subject application, Attorneys for Applicants hereby invite the Examiner's attention to the reference(s) listed on the accompanying PTO Form SB/08A and SB/08B.

Identification of references listed on the attached PTO form is not to be construed as an admission of Applicants or attorneys for Applicants that such references are material or available as prior art against the subject application. The right is reserved to antedate any item in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made, or that the cited items are inclusive of all the relevant and material citations that may be available publicly.

Copies of the cited references are enclosed. Applicants respectfully request that the Examiner review the attached references and that they be made of record in the file history of the above-captioned application.

In connection with the filing of this Information Disclosure Statement,

Applicants believe that there is no fee due. However, should there be a required fee the

Commissioner is hereby authorized to charge White & Case LLP Deposit Account No. 23
1703.

Dated: September 24, 2003

Patrick W. Ma (Reg. No. 44,215)

White & Case LLP

1155 Avenue of the Americas New York, NY 10036-2787 (650) 213-0321



Please type a plus sign (+) inside this box + PTO/SB/08A (10-96)

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Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/898,310	
			_	Filing Date	July 3, 2001	
				First Named Inventor	Teng Pin Poo	
				Group Art Unit	2182	
(u	se as many s	heets as n	ecessary)	Examiner Name		
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark hee if English language Translation is attached.